

GOVERNING BODY QUESTIONNAIRE FISCAL YEAR ENDING

1. Do you	know of or suspect any fraud in your organization? If so, please describe your knowledge and concerns.
	ou received any communications from employees, former employees, volunteers, grantors, contributors, ors, or others alleging fraud or misconduct?
3. How do	you communicate to y g staff that fraudulent and unethical behavior will not be tolerated?
4. Are you	a aware of any pending or threatened litigation?
5. Do you	regularly receive financial/operating reports from staff? a. How often?
	b. Do you review these reports?
	c. What information do you find most useful in these reports?
	d. What monitoring do you do to assure yourself that information given to you by your staff does not make things look better or worse than they really are?

6. Is there anything else we need to know to properly plan this audit? Is there any area you are concerned about where """you would like us to focus?			
The following questions relate to Related Parties. <i>If the answer to any q provided.</i>	uestion belo	w is "yes," please explain in the space	
Related parties include members of the governing body, administrative of officials, and members of the governing body; and affiliated government statements, such as a metropolitan water and sewer system.			
7. Have you or any related party of yours had any material interest, dire """" or pending transactions since y g'dgi kppkpi 'qh'y g''{ gct 'wpf gt 'cwf kvto			
	Yes	<u>No</u>	
Sale, purchase, exchange, or leasing of property?			
Receiving or furnishings of goods, services, or facilities?			
Transfer of receipt of income or assets?			
Maintenance of bank balances as compensating balances for the benefit of another?			
Other transactions?			
8. Have you or any related party of yours been indebted to or had a receivable from the entity at any time since ȳ g" """dgi kppkpi "qh'ȳ g"{ gct 'wpf gt 'cwf kv? Please exclude amounts due for ordinary travel and expense advances.			
<u>Yes</u> <u>No</u>			
Name			
Manie			
Date			